

COPY OF PAPERS  
ORIGINALLY FILED

2834

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

|  |                      |                        |           |
|--|----------------------|------------------------|-----------|
| <b>TRANSMITTAL<br/>FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 10/027,882             |           |
|  | Filing Date          | December 21, 2001      |           |
|  | First Named Inventor | Vlad J. Novotny        |           |
|  | Group Art Unit       | Unknown                |           |
|  | Examiner Name        | Unknown                |           |
| Total Number of Pages in This Submission   | 3                    | Attorney Docket Number | A0-001-2D |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Supplemental Information Disclosure Statement *<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Receipt Postcard |
| Remarks: #1 page PTO-1449 form citing 1 document and a copy of the document.  |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                         |
|--|-------------------------|
| Firm or Individual name                    | Arthur J. Behiel        |
| Signature                                  | <i>Arthur J. Behiel</i> |
| Date                                       | January 29, 2002        |

| CERTIFICATE OF MAILING  |                      |
|---|----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231 on this date: 1-29-02 |                      |
| Typed or printed name   | Laurie Moreno        |
| Signature   | <i>Laurie Moreno</i> |
| Date  | 1-29-02              |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

\* Box DD



COPY OF PAPERS  
ORIGINALLY FILED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

3

Applicant: Vlad J. Novotny

Assignee: Active Optical Networks, Inc.

Title: "DEEP-WELL LITHOGRAPHY PROCESS FOR FORMING  
MICRO-ELECTRO-MECHANICAL STRUCTURES"

Serial No.: 10/027,882      Filed: December 21, 2001

Examiner: Unknown      Tel: Unknown

Docket No.: AO-001-2D      Art Unit: Unknown

Box DD  
Assistant Commissioner For Patents  
Washington, D.C. 20231

January 29, 2002


SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §1.56, §1.97 and §1.98, Applicant brings the 1 document listed on the enclosed PTO-1449 form to the Examiner's attention in the above-captioned application. This document was previously cited on the PTO-1449 form (page 4 of 4, line BF) sent in with the original application filing dated December 21, 2001. At the time the source date was unknown. We have since contacted the author and have been informed the originating date is February 1999. The PTO-1449 Citation of the listed document shall not be construed as:

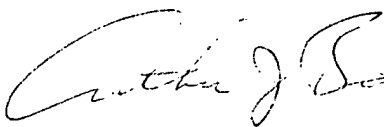
1. an admission that the documents are necessarily prior art with respect to the instant application;
2. a representation that a search has been made; or
3. an admission that the information cited is, or is considered to be, material to patentability as defined in §1.56(b).

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated below and is addressed to: Box DD, Commissioner for Patents, Washington, D.C. 20231, on January 29, 2002.

January 29, 2002 

Date Laurie Moreno

Respectfully submitted,

  
Arthur J. Behiel  
Attorney for Applicants  
Reg. No. 39,603

RECEIVED  
FEB 25 2002  
TC 2800 MAIL ROOM